

INDA

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/786,212  
Source: PCT09  
Date Processed by STIC: 3/21/02

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or;
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202  
Or  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Raw Sequence Listing Error Summary

PCT09

**ERROR DETECTED**

**SUGGESTED CORRECTION**

SERIAL NUMBER: 09/786,212

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1      Wrapped Nucleics  
    Wrapped Aminos      The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2      Invalid Line Length      The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3      Misaligned Amino  
    Numbering      The numbering under each 5<sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4      Non-ASCII      The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5      Variable Length      Sequence(s)      contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6      PatentIn 2.0  
    "bug"      A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)     . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7      Skipped Sequences  
    (OLD RULES)      Sequence(s)      missing. If intentional, please insert the following lines for each skipped sequence:  
    (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
    (i)      SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
    (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
    This sequence is intentionally skipped  
  
    Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8      Skipped Sequences  
    (NEW RULES)      Sequence(s)      missing. If intentional, please insert the following lines for each skipped sequence.  
    <210> sequence id number  
    <400> sequence id number  
    000
- 9      Use of n's or Xaa's  
    (NEW RULES)      Use of n's and/or Xaa's have been detected in the Sequence Listing.  
    Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  
    In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 10      Invalid <213>  
    Response      Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
- 11 ✓ Use of <220>      Sequence(s) 266 missing the <220> "Feature" and associated numeric identifiers and responses.  
    Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
    (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12      PatentIn 2.0  
    "bug"      Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13      Misuse of n      n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.



PCT09

**Does Not Comply  
Corrected Diskette Needed**

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/786,212

DATE: 03/21/2002  
TIME: 15:36:05

*Error on p. 7*

Input Set : A:\seq listing.txt  
Output Set: N:\CRF3\03212002\I786212.raw

4 <110> APPLICANT: GeneSense Technologies, Inc.(et al.)  
6 <120> TITLE OF INVENTION: Antisense Oligonucleotide Sequences Derived  
7 From groEL groES As Inhibitors of Microorganisms  
9 <130> FILE REFERENCE: 683-114US  
C--> 11 <140> CURRENT APPLICATION NUMBER: US/09/786,212  
12 <141> CURRENT FILING DATE: 2000-11-20  
14 <150> PRIOR APPLICATION NUMBER: US 60/166,249  
15 <151> PRIOR FILING DATE: 1999-11-18  
17 <160> NUMBER OF SEQ ID NOS: 509  
19 <170> SOFTWARE: FastSEQ for Windows Version 4.0  
21 <210> SEQ ID NO: 1  
22 <211> LENGTH: 2217  
23 <212> TYPE: DNA  
24 <213> ORGANISM: Escherichia coli  
26 <400> SEQUENCE: 1  
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29 aggagagtta tcaatgaata ttctgtccatt gcatgatcgc gtgatcgta agcgtaaaga 180  
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31 ccgcggcgaa gtgctggctg tcggcaatgg ccgtatcctt gaaaatggcg aagtgaagcc 300  
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34 agcgtaatcc gcgcacgaca ctgaacatac gaatttaagg aataaagata atggcagcta 480  
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37 tcggtgcacc gaccatcacc aaagatgggt tttccgttgc tcgtgaaatc gaactggaag 660  
38 acaagttcga aaatatgggt gcgcagatgg tgaaagaagt tgccctctaa gcaaacgacg 720  
39 ctgcaggcga cggataccacc actgcaaccg tactggctca ggctatcatc actgaagggtc 780  
40 tgaaagctgt tgctgcgggc atgaaccoga tggacctgaa acgtgggtatc gacaaagcgg 840  
41 ttaccgctgc agttgaagaa ctgaaagcgc tgtccgtacc atgctctgac tctaaagcga 900  
42 ttgctcaggt tggatccatc tccgctaact ccgacgaaac cgtaggtaaa ctgatcgtg 960  
43 aagcgatgga caaagtcggt aaagaaggcg ttatcacctg tgaagacggt accggtctgc 1020  
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## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/786,212

DATE: 03/21/2002

TIME: 15:36:05

Input Set : A:\seq listing.txt

Output Set: N:\CRF3\03212002\I786212.raw

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57 gaaccgtctg ttgttgctaa caccgttaaa ggccggcgacg gcaactacgg ttacaacgca 1860
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59 cgttctgctc tgcagtacgc agcttctgtg gctggcctga tgatcaccac cgaatgcatg 1980
60 gttaccgacc tgcggaaaaa cgatgcagct gacttaggcg ctgctggcgg tatgggcggc 2040
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62 cgggcagaaa tgtctggggg ttttctttt ggtcatcttt cttctagtat aagattcaca 2160
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67 <211> LENGTH: 2032
68 <212> TYPE: DNA
69 <213> ORGANISM: Escherichia coli
71 <400> SEQUENCE: 2
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74 cggctaaatc caccgcggc gaagtgtcgg ctgtcgcaa tggccgtatc cttgaaaatg 180
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77 tggcaattgt tgaagcgtaa tcctcgcacg aactgaaca tacgaattta aggaataaag 360
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83 atcactgagg gtctgaaagc tgttgcctgc ggcattgaacc cgatggacct gaaacgtggg 720
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107 <210> SEQ ID NO: 3

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## RAW SEQUENCE LISTING

DATE: 03/21/2002

PATENT APPLICATION: US/09/786,212

TIME: 15:36:05

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Output Set: N:\CRF3\03212002\I786212.raw

108 &lt;211&gt; LENGTH: 2006

109 &lt;212&gt; TYPE: DNA

110 &lt;213&gt; ORGANISM: Escherichia coli

112 &lt;400&gt; SEQUENCE: 3

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115 tggctgtcgg caatggccgt atccttgaaa atggcgaagt gaagccgctg gatgtgaaag 180
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117 aagaagtgtt gatcatgtcc gaaagcgaca ttctggcaat tgttgaagcg taatccgcgc 300
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143 gatcgacatg ggtatcctgg atccaaccaa agtaaccgtt tctgctctgc agtacgcggc 1860
144 ttctgtggct ggcctgatga tcaccaccga gtgcatgggt accgacctgc cgaaaaacga 1920
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2006

148 &lt;210&gt; SEQ ID NO: 4

149 &lt;211&gt; LENGTH: 1741

150 &lt;212&gt; TYPE: DNA

151 &lt;213&gt; ORGANISM: Escherichia coli

153 &lt;400&gt; SEQUENCE: 4

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156 tgcgcggcgt aaacgtactg gcagatgcag tgaaagttac cctcggttca aaaggccgta 180
157 acgtagttct ggataaatct ttccgtgcac cgaccatcac caaagatggg gtttcggttg 240
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DATE: 03/21/2002

PATENT APPLICATION: US/09/786,212

TIME: 15:36:05

Input Set : A:\seq listing.txt

Output Set: N:\CRF3\03212002\I786212.raw

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168 aagcgctggc aactgctggt gttaacacca ttctgtggcat cgtgaaagtc gctgcggtta 900
169 aagcaccggg cttcggcgat cgtcgtaaa ctagctgca ggatctgca accctgactg 960
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171 tgggtcaggc taaacgtggt gtgatcaaca aagacaccac cactatcatc gatggcggtg 1080
172 gtgaagaagc tgcaatccag gccggtgttg ctcatatccg tcagcagatt gaagaagcaa 1140
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181 ccgaatgcat ggttaccgac ctgccgaaaa acgatgcagc tgacttaggc gctgctggcg 1680
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183 a 1741

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185 &lt;210&gt; SEQ ID NO: 5

186 &lt;211&gt; LENGTH: 2401

187 &lt;212&gt; TYPE: DNA

188 &lt;213&gt; ORGANISM: Streptococcus pneumoniae

190 &lt;400&gt; SEQUENCE: 5

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200 gatggcgatg aaaagtacat catcgtaggc gaagctaaca ttttggcaat cattgaggaa 600
201 tagaaggaga aagtaagtat gtcaaaagaa attaaatttt catcagatgc ccgttcagcc 660
202 atggttcgtg gtgtcgatat ccttgacagc actgttaaag taaccttggg accaaaagat 720
203 cgcaatgtcg ttcttgaaaa gtcattcggt tcacccttga ttaccaatga cgggttgacc 780
204 attgccaaag aaatcgaatt ggaagaccat tttgaaaata tgggtgctaa gttagtatca 840
205 gaaatagctt ctaaaaccaa tgatctgca ggtgacggga ctacgactgc aacagtcttg 900
206 acccaagcta tcgtccgtga aggaatcaaa aacgtcacag caggtgcaaa tccaatcggt 960
207 attcgtcgtg ggaattgaaac agcagttgac gcagcagttg aagctttgaa aaacaacgcc 1020
208 atccctgttg ccaataaaga agctatctct caagttgcag ccgtatcttc tcgttctgaa 1080
209 aaagttggtg agtacatctc tgaagcaatg gaaaaagttg gcaaagacgg tgtcatcacc 1140
210 atcgaagagt cacgtggtat ggaaacagag cttgaagtcg tagaaggaat gcagtttgac 1200

```

## RAW SEQUENCE LISTING

DATE: 03/21/2002

PATENT APPLICATION: US/09/786,212

TIME: 15:36:05

Input Set : A:\seq listing.txt

Output Set: N:\CRF3\03212002\I786212.raw

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211 cgtgggttacc tttcacagta catgggtgact gatagcgaaa aaatgggtggc tgaccttgaa 1260
212 aatccgtaca ttttgattac agacaagaaa atttccaata tccaagaaat cttgccactt 1320
213 ttggaaagca ttctccaaag caatcgtcca ctcttgatta ttgcgatga tgtggatggc 1380
214 gaggtctctt caactcttgt tttgaacaag attcgtggaa ccttcaacgt agtagcagtc 1440
215 aaggcacctg gttttgggtga ccgtcgcaaa gccatgcttg aagatatcgc catcttaaca 1500
216 ggcggaacag ttatcacaga agaccttggc cttgagttga aagatgcgac aattgaagct 1560
217 cttggtcaag cagcgagagt gaccgtggac aaagatagca cggttattgt agaagggtgca 1620
218 ggaaatcctg aagcgatttc tcaccgtgtt gcggttatca agtctcaa atcgaaactaca 1680
219 acttctgaat ttgaccgtga aaaattgcaa gaacgcttgg ccaaattgtc aggtgggtgta 1740
220 gcggttatta aggttggagc cgcaactgaa actgagttga aagaaatgaa actccgcatt 1800
221 gaagatgccc tcaacgctac tcgtgcagct gttgaagaag gtattgttgc aggtgggtgga 1860
222 acagctcttg ccaatgtgat tccagctgaa gctaccttgg aattgacagg agatgaagca 1920
223 acaggacgta atattgttct ccgtgcttgg gaagaaccgg ttcgtcaa attgctcacaat 1980
224 gcaggatttg aaggatctat cggttatgat cgtttgaaaa atgctgagct tggatatagga 2040
225 ttaacgcag caactggcga gtgggttaac atgattgatc aaggtatcat tgatccagtt 2100
226 aaagtgagtc gttcagccct acaaaatgca gcatctgtag ccagcttgat tttgacaaca 2160
227 gaagcagtcg tagccaataa accagaacca gtagccccag ctccagcaat ggatccaagc 2220
228 atgatgggcg ggatgatgta agctttctat agaaaacaac ttataaaaaa cacaaaagga 2280
229 gggaatgact aacccttctt tttataggct ctttgtcaac tgtagtgggt tgaagtcagc 2340
230 taagctcgag aaaggacaaa tttcgtcctt tcttttttga tgttcaaagc gataaaaaatc 2400
231 c 2401
233 <210> SEQ ID NO: 6
234 <211> LENGTH: 2107
235 <212> TYPE: DNA
236 <213> ORGANISM: Streptococcus pneumoniae
238 <400> SEQUENCE: 6
239 tcttgaaga ggaagaattg ccattttaag aattaaaaag tctgagttgg tctcaggctt 60
240 tttatcttga gaaagtcaga cttttttctt gattatttct gactaagtga tacaatagaa 120
241 ctatgaatta gcaactcagg ataaagagtg ctaataatat ctatctcatt atggaggaaa 180
242 tcagatgttg aaaccattag gggaccgttt ggtcttaaaa gtagaagaaa aagaacaaac 240
243 tgttggagggc tttgttctcg cagggttcagc ccaagaaaaa accaaaacag cccaagttgt 300
244 ggctactgga caaggtgttc gtaccttgaa cggtgacttg gttgctccaa gtgttaaaac 360
245 tggagaccgt gtcttagttg aagcccacgc aggtcttgat gtcaaagatg gcgatgaaaa 420
246 gtatatcatc gtaggcgaag ctaacatctt ggcaatcatt gaagaataga aggagaaagt 480
247 aagtatgtca aaagaaatta aattttctatc agatgccgtt tcagctatgg tccgtgggtg 540
248 cgatatcctt gcagatactg ttaaagtaac cttgggacca aaaggtcgca atgtcgttct 600
249 tgaaaaatca tttggctcac cattgattac caatgacggt gtgactattg ccaaagaaat 660
250 tgaattagaa gaccattttg aaaatatggg tgccaaattg gtatcagaag tagcttctaa 720
251 aaccaatgat atcgcagggt acgggactac gactgcaaca gtcttgacc aagctatcgt 780
252 ccgtgaagga atcaaaaacg tcacagcagg tgcaaatcca atcggtattc gtcgtgggat 840
253 tgaacagca gttgccgag cagttgaagc tttgaaaaac aacgccatcc ctgttgccaa 900
254 taaagaagct atcgtcgaag ttgcagccgt atcttctcgt tctgaaaaag ttggtgagta 960
255 catctctgaa gcaatggaaa aagttggcaa agacgggtgtc atcaccatcg aagagtcacg 1020
256 tggatggaa acagagcttg aagtcgtaga aggaatgcag tttgaccgtg gttacctttc 1080
257 acagtacatg gtgacagata gcgaaaaaat ggtggctgac cttgaaaatc cgtacatttt 1140
258 gattacagac aagaaaattt ccaatatcca agagatcttg ccacttttgg aaagcattct 1200
259 ccaagcaat cgtccactct tgattattgc ggaatgtgtg gatggcagg ctcttccaac 1260
260 tcttgttttg aacaagattc gtggaacctt caacgtagta gcagtcaagg cacctggttt 1320
261 tggtgaccgt cgcaaaagcca tgcttgaaga tatcgccatc ttaacaggcg gaacagttat 1380

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## VERIFICATION SUMMARY

DATE: 03/21/2002

PATENT APPLICATION: US/09/786,212


TIME: 15:36:06

Input Set : A:\seq listing.txt

Output Set: N:\CRF3\03212002\I786212.raw

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L:3326 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:266  
L:3328 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:3328 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:



<210> SEQ ID NO 266  
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<212> TYPE: DNA  
<213> ORGANISM: Artificial Sequence  
<220> FEATURE:  
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<400> SEQUENCE: 266  
cacaccatcg ttgccacac

- requires explanation of genetic source  
see error summary sheet item 11